

04/03/00
JCS04 U.S. PTO

THE ASSISTANT COMMISSIONER OF PATENTS
Washington, D.C. 20231

DOCKET NUMBER: AUS000061US1
March 27, 2000

X

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventor: Rabindranath Dutta

For: **Method, System and Program for Efficiently Distributing Serial Electronic Publications**

Enclosed are:

Patent Specification and Executed Declaration
 Seven (7) sheets of drawing(s).
 An assignment of the invention to International Business Machines Corporation (includes Recordation Form Cover Sheet).
 A certified copy of a ___ application.
 Information Disclosure Statement, PTO 1449 and copies of references.

JCS04 U.S. PTO
09/542517

04/03/00

The filing fee has been calculated as shown below:

For	Number Filed	Number Extra	Rate	Fee
<u>Basic Fee</u>				<u>\$690.00</u>
<u>Total Claims</u>	<u>21 - 20</u>	<u>1</u>	<u>x \$18 =</u>	<u>18.00</u>
<u>Indep. Claims</u>	<u>3 - 3</u>	<u>0</u>	<u>x \$78 =</u>	<u>\$ 0.00</u>
<u>MULTIPLE DEPENDENT CLAIMS PRESENTED</u>	<u>0</u>		<u>x \$270 =</u>	<u>\$ 0.00</u>
			<u>TOTAL</u>	<u>\$708.00</u>

Please charge IBM Corporation Deposit Account No. 09-0447 in the amount of \$708.00. A duplicate copy of this sheet is enclosed.

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to IBM Corporation Deposit Account 09-0447. A duplicate copy of this sheet is enclosed.

Any additional filing fees required under 37 CFR §1.16.

Any patent application processing fees under 37 CFR §1.17.

Respectfully submitted,

By MBarré 3/27/00
Michael R. Barré
Registration No. 44,023
FELSMAN, BRADLEY, VADEN,
GUNTER & DILLON, LLP
Suite 350 Lakewood on the Park
7600B North Capital of Texas Highway
Austin, Texas 78731
Telephone (512) 343-6116

**METHOD, SYSTEM AND PROGRAM FOR EFFICIENTLY DISTRIBUTING
SERIAL ELECTRONIC PUBLICATIONS**

CROSS-REFERENCES TO RELATED APPLICATIONS

5

The present invention is related to the following application filed concurrently with this application: U.S. Patent Application Serial No.

10

____/____ entitled "METHOD, SYSTEM AND PROGRAM FOR EFFICIENTLY DISTRIBUTING SERIAL ELECTRONIC PUBLICATIONS" (attorney docket no. AUS000061US2), which is hereby incorporated.

BACKGROUND OF THE INVENTION

1. Technical Field:

The present invention relates in general to data processing systems and, in particular, to methods and systems for distributing electronic publications to subscribers at data processing systems. Still more particularly, the present invention relates to methods, systems and programs for optimizing the efficiency with which serial electronic publications are distributed to subscribers.

2. Description of the Related Art:

30

Not long ago, magazines, newspapers, technical bulletins, and other serial publications were distributed almost exclusively in hardcopy, paper format. In recent

years, however, the Internet and smaller computer networks have attained unprecedented popularity and ubiquity, making it easier than ever before to link widespread communities of individuals with common
5 interests. Consequently, as the number of Internet users has grown, so has the utilization of electronic publications. For example, Dow Jones & Company, Inc. now offers subscriptions to the traditional, paper format of THE WALL STREET JOURNAL®, as well as subscriptions to an electronic format that is delivered to subscribers electronically via network connections. Among the
10 advantages available to electronic publications, relative to hardcopy publications, are increased ease and rapidity of delivery from the publisher to the subscriber and support for multimedia content (such as audio, animation, etc.).

Currently, the most commonly utilized network architecture, and the architecture utilized by the Internet, is the client/server architecture. Within computer networks utilizing that architecture, electronic publications are typically distributed or published (i.e., transmitted) utilizing either a "client pull" or a "server push" technique. In either case, the publication
25 is transmitted from a server data processing system to subscribers at client data processing systems. However, with client pull, the clients initiate the download of each issue, whereas in server push, the server does the initiating (after the clients have provided permission
30 for the server to write to their storage).

Client pull may be utilized when a subscriber is unable to provide a publisher with a persistent

network address for receiving each issue of a publication. For the purposes of this document, a network address is persistent if it is always associated with the same subscriber and substantially always receptive. For example, individuals who connect to the Internet through dial-up connections to Internet Service Providers (ISPs) generally do not maintain permanent connections and do not obtain the same Internet Protocol (IP) addresses each time they establish dial-up connections. Nevertheless, once a dial-up subscriber has established a non-persistent (or "temporary") connection, client pull allows that subscriber to download issues of publications, utilizing the network address associated with that temporary connection.

Currently, dial-up connections are the most widely utilized mechanism for connecting clients to the Internet. However, the number of individuals with persistent network addresses is on the increase, as cable modems, direct T1 lines, and similar technologies appear to be gradually replacing dial-up connections as the connection mechanism of choice for Internet users.

When a subscriber is able to provide a publisher with a persistent network address, server push may be utilized to transmit issues. For example, subscribers who maintain permanent Internet connections via cable modems, direct T1 lines, and the like are able to provide persistent network addresses when subscribing to publications. In addition, efforts are currently under way to develop network protocols that support server push to subscribers without persistent network

10

5

10

0
1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30

addresses, such as traveling subscribers who connect to the Internet via wireless services.

Push techniques may also be utilized to push content from a publishing server to one or more intermediate servers, in anticipation of dial-up subscribers opening temporary connections to those intermediate servers. The content is subsequently downloaded from an intermediate server by a dial-up subscriber, for example automatically when the subscriber connects to the network, in response to operator input initiating the download, or in response to some other event indicating that the client data processing system is ready to receive the content. For example, serials can be distributed from a publishing server to subscribers via Internet e-mail, with issues being pushed to e-mail servers associated with the subscribers, even though the subscribers might not be connected at that time to the network. Once the subscribers do connect to their respective e-mail servers, the e-mailed issues may be downloaded to the subscriber's client data processing systems. The download can happen automatically, according to a predetermined schedule in client software (such as an e-mail client), or in response to other conditions, such as an explicit request from a subscriber to check for new mail.

Push techniques provide a number of advantages, relative to pull techniques. For instance, when push is utilized, the load on the publishing server (or servers) may be balanced according to a publishing schedule. For example, a daily issue of a publication may be ready for distribution at midnight and expected, by 80,000

00000000000000000000000000000000

25

20

10

5

30

5

10

subscribers, to be available at client data processing systems by 8:00 a.m. With push, the server may merely transmit the issue to 10,000 subscribers per hour to meet the distribution objectives. With pull, by contrast, if all of the clients request the issue between 7:00 and 8:00 a.m., the server must transmit the issue at a rate of at least 80,000 subscribers per hour to service all of the subscribers effectively. Also, push techniques allow publishers to distribute supplemental content, such as news flashes, to subscribers in a timely manner.

10
20
25
30

However, electronic publications in general, and pushed publications in particular, also share some disadvantages with hardcopy publications, including problems with overloading a subscriber with unwanted issues of a publication. The problem of overload may occur, for example, when a subscriber is too busy to read all of the issues of publications that are being delivered, or when the subscriber is on vacation, for instance, and issues are accumulating unread on the subscriber's data processing system.

Consequently, what is needed is a way to reduce or eliminate the inefficiencies associated with transmitting unwanted issues of a publication and storing those issues in a client or in an intermediate server. In addition, it would be advantageous to manage the publication process so as to prevent unwanted issues from being presented to the subscriber, thereby freeing the subscriber from the task of manually sorting through a number of issues to distinguish the wanted from the unwanted and purging the latter.

SUMMARY OF THE INVENTION

According to the present invention, a data processing system with facilities for efficiently transmitting a serial electronic publication to subscribers includes a push engine and a status manager. The push engine transmits a first issue to a subscriber. The status manager determines whether the first issue has been opened and allows the push engine to transmit a second issue to the subscriber only after determining that the first issue has been opened. In an illustrative embodiment, the push engine transmits a hypertext transfer protocol (HTTP) cookie to the subscriber with the first issue, and the status manager determines whether the first issue has been opened by reference to a corresponding cookie response from the subscriber indicating that client software has been utilized to open the first issue.

All objects, features, and advantages of the present invention will become apparent in the following detailed written description.

卷之三

BRIEF DESCRIPTION OF THE DRAWINGS

The novel features believed characteristic of the invention are set forth in the appended claims. The invention itself however, as well as a preferred mode of use, further objects and advantages thereof, will best be understood by reference to the following detailed description of an illustrative embodiment when read in conjunction with the accompanying drawings, wherein:

10

Figure 1 depicts an illustrative embodiment of a data processing system network within which the method, system and program of the present invention may advantageously be utilized;

10
20
25
30
35
40
45
50
55
60
65
70
75
80
85
90
95

Figure 2 illustrates a layer diagram of exemplary server software for transmitting a serial electronic publication to subscribers efficiently, in accordance with the present invention;

Figure 3 depicts exemplary client software that supports efficient publication of electronic serials, in accordance with the present invention;

25

Figure 4 illustrates an exemplary method, means and program function within a server for transmitting a serial electronic publication to subscribers efficiently, in accordance with the present invention;

30

Figure 5 illustrates in greater detail an exemplary subset of the method, means and program function of **Figure 4** for determining whether a subscriber is receptive;

Figure 6 depicts an exemplary method, means and program function within a client for preventing issues of an electronic publication from accumulating at a client data processing system while one or more earlier issues remain unopened, in accordance with the present invention; and

Figure 7 illustrates an exemplary method, means and program function within a client for temporarily suspending delivery of an electronic publication, in accordance with the present invention.

卷之三

DETAILED DESCRIPTION OF PREFERRED EMBODIMENT

With reference now to the figures and in particular with reference to **Figure 1**, there is depicted an illustrative network of data processing systems including a server machine **10** and two client machines **20A** and **20B**. Clients **20A** and **20B** are connected to server **10** via communications media **22** (such as twisted-pair cables, coaxial cables, telephone lines, microwave links, and/or radio links). The network may also include one or more intermediate data processing systems, such as an Internet service provider ("ISP") **30**.

Referring now to **Figure 2**, there is illustrated a layer diagram of exemplary software within server **10** that provides for efficient distribution of serial electronic publications, in accordance with the present invention. At the highest level of the diagram are the application programs **210**, including a server program **220** that is configured to use server push to transmit issues of one or more publications from server **10** to subscribers.

As shown, server program **220** includes a push engine **222** for electronically transmitting issues of a publication to subscribers, for example according to a predetermined distribution schedule. For instance, for a daily serial publication (i.e., a serial that generates one new issue per day) push engine **222** might be programmed to initiate distribution at a certain time each day. Server program **220** also contains a message content storage area **230** for holding the data that

constitutes an issue, in preparation for distributing that issue. Also included in server program **220** is a subscriber database **240** for holding data relating to the subscribers. In particular, in the illustrative embodiment, subscriber database **240** holds the network addresses of the subscribers, as well as status settings which allow the server to distribute issues according to subscriber preferences. As described below with reference to **Figures 4, 5, and 6**, server program **220** also contains a status manager **250**, which alters the status settings in subscriber database **240** in response to input from subscribers. Server program **220** receives that input through an input module **260**.

At the intermediate level of the software diagram is an application program interface (API) **270**, through which application programs **210** request services from the operating system **280**. Operating system **280**, which occupies the lowest level of the diagram, is a network operating system. As such, in addition to managing the operations of server **10** (by performing duties such as resource allocation, task management, and error detection), operating system **280** also provides tools for managing communications between server **10** and remote data processing systems (such as clients **20A** and **20B**).

With reference now to **Figure 3**, there is depicted exemplary software within clients **20A** and **20B** that cooperates with server program **220** to support efficient publication of electronic serials. As shown, in addition to an operating system **310** and an API **320**,

each of clients **20A** and **20B** includes a client program **330** for sending and receiving network communications. In particular, network program **330** includes an interface module through which communications are sent and received, and a received data storage area **350**, in which received communications may be stored. In the illustrative embodiment, client program **330** also includes a list of push options **360**, which includes settings for subscription preferences, as described below with reference to **Figures 4, 5, and 6.**

10

Publication Process

Referring now to **Figure 4**, there is illustrated an exemplary method, means and program function for transmitting a serial electronic publication, in accordance with the present invention. The illustrated process begins with server **10** executing server program **220**, and server program **220** waiting to receive data to be transmitted as the next issue of a publication, as shown at block **400**. The process then passes to block **410**, which depicts server program **220** receiving the content of the new issue (for instance, from a different application running in server **10** or from a remote data processing system) and storing that content in message content storage area **230**. Then, as shown at block **412**, server program **220** determines whether it is time to initiate distribution. Preferably, that determination is made by comparing the current time with a scheduled time to initiate distribution, possibly in conjunction with other tests, such as a verification that new content has been

25

30

15
20
25
30
35
40
45
50
55
60
65
70
75
80
85
90
95

received. Alternatively, publication may be initiated manually.

Once the initiation time has been reached, the process enters a main loop at block **420**, which illustrates push engine **220** initiating the distribution process by consulting subscriber database **240** to identify a subscriber. As depicted in general at block **422** (and in detail in **Figure 5**), a determination is then made whether the identified subscriber is receptive to new issues.

In a first embodiment of the present invention, push engine **222** makes that determination based on "push-on-read" settings in subscriber database **240**. Specifically, those settings identify each subscriber that has enabled push-on-read filtering and, for each such subscriber, indicate whether the last issue that he or she received has been "read" (i.e., opened) yet.

In a second embodiment, the determination depicted at block **422** is made based on "vacation" (or "push-on-present") settings in subscriber database **240**. Those settings indicate whether the subscriber is expected to be present to read the new issue or, instead, is expected to be on vacation or otherwise unavailable. Specifically, the push-on-present settings identify each subscriber that has enabled push-on-present filtering and, for each such subscriber, specify zero or more time periods during which transmission is to be suspended. A third embodiment is also provided, in which both push-on-read and push-on-present settings are consulted.

Furthermore, as explained below with reference to **Figure 5**, the present invention provides optional dynamic status updating for any of those three embodiments. By dynamically updating the subscriber status data, allowances are made for subscribers with unexpected changes in status, such as subscribers who return from vacation early. The dynamic updating also makes allowance for connection interruptions which might occur, for example, when server **10** is temporarily unavailable due to repairs or maintenance. Even though subscribers will be unable to register status updates (described below with reference to **Figures 6** and **7**) while server **10** is down, the dynamic updating enables server **10** to retrieve those updates from subscribers before the distribution decision pertaining to those subscribers is made.

With reference now also to **Figure 5**, there is illustrated an exemplary method, means and program function for making the determination depicted in block **422** of **Figure 4**, with the depicted steps providing for dynamic status updating, as well as both push-on-read and push-on-present subscriber settings. The illustrated process begins at block **500** with control having reached block **422** of **Figure 4**. As illustrated at block **510**, push engine **220** then determines whether dynamic status updating is configured to be active. Depending on factors affecting a particular installation, such updating may be configured by an administrator of server **10** to be active or not on a per-subscription basis, or on a server level for all subscribers to all subscriptions. Alternatively, subscribers to one or more subscriptions

may be given the ability to activate such updating on an individual (per-subscriber) basis.

5 If push engine **220** determines that dynamic status updating is active, status manager **250** transmits a status query to the identified subscriber, as illustrated at block **520**. If input module **260** receives a corresponding status response from the subscriber indicating that the status settings should be changed, 10 the process flows through block **522** to block **524**, which shows status manager **250** updating subscriber database **240** accordingly.

20 After subscriber database **240** has been updated, or if it is determined at blocks **510** or **522**, respectively, that dynamic status updating is inactive or that no status update is necessary, the process passes to block **530**. As depicted at block **530**, server program **220** then determines whether push-on-read filtering is enabled for the identified subscriber. If so, the process passes to block **532**, which shows server program **220** determining, 25 by reference to subscriber database **240**, whether the copy of the last issue that was transmitted to the identified subscriber has been opened. If that copy has not yet been opened, the identified subscriber is flagged as unreceptive, as shown at block **570**.

30 However, if it is determined at blocks **530** or **532**, respectively, that push-on-read filtering is not enabled or that the last issue has been opened, server program **220** next determines whether push-on-present filtering is enabled, as depicted at block **540**. If so,

server program **220** determines whether subscriber database **240** includes a vacation setting for the identified subscriber that matches the publication time for the new issue, as illustrated at block **542**. If the subscriber has set a vacation interval covering that publication time, the subscriber is flagged as unreceptive, as depicted at block **570**. For example, as described below with reference to **Figure 6**, a subscriber may notify server **10** that vacation filtering is to be enabled and that the subscriber should be considered present on all days except for March 1 through March 8. As a result, server program **220** would flag that subscriber as unreceptive when issues are being distributed for March 1 through March 8. However, if server program **220** determines that the subscriber is scheduled to be present for a current issue or that push-on-present is not enabled, the subscriber is flagged as receptive, as illustrated at block **560**.

Once the subscriber has been flagged as either receptive or unreceptive, the process passes from block **560** or **570**, respectively, through block **580** to return to block **422** of **Figure 4**. If the subscriber has been flagged as receptive, the process then passes to block **424**, which shows push engine **222** transmitting the latest issue to the subscriber, and then to block **426**. If the subscriber is flagged as unreceptive, however, block **424** is bypassed and the process passes directly from block **422** to block **426**.

As illustrated at block **426**, server program **220** then determines whether subscriber database **240** lists any

remaining unprocessed subscribers. If there are one or more additional subscribers to handle, the process then returns to the top of the main loop at block **420**, and a next subscriber is identified and processed, as described above. Finally, once the last subscriber has been processed, the illustrated process ends, as shown at block **430**.

Push-on-Read Client Process

10

Referring now to **Figure 6**, there is depicted an exemplary method, means and program function within client **20A** for receiving issues and responding to dynamic requests from server **10** for status updates, according to the push-on-read methodology. The depicted process begins at block **600** with client program **330** executing in client **20A**, with the network address of client **20A** having been registered as the address of a subscriber to a serial publication, and with the push-on-read filter enabled for that subscriber.

The process then passes to block **610**, which illustrates client program **330** determining whether a new issue of a publication has been received. If a new issue has been received, client program **330** saves the received issue in received data storage area **350**, as shown at block **612**. After the issue is saved, or if it is determined that no new issue has been received, the process passes to block **620**, which depicts client program **330** determining whether the saved copy of the new issue has been opened for the first time. If so, client

25

30

program **330** transmits a status update to server **10** indicating that the issue has been opened.

In the illustrative embodiment, a Web browser is utilized to view issues, and server **10** packages a hypertext transfer protocol (HTTP) "cookie" with each issue sent to subscribers that have enabled push-on-read filtering. Accordingly, the mechanism used for the status update is the corresponding cookie response that the web browser automatically returns to server **10** when the issue is opened. Alternatively, however, client program **330** could be configured to identify subscribers who have opened an issue by transmitting status updates as HTTP functions (such as "PUT" or "POST") for storing data on server **10** or by sending e-mail to an address that server **10** has added to an HTTP header associated with the new issue (for example, in the FROM field of the HTTP header). In any case, however, if client program **330** is unable to successfully transmit the status update to server **10**, client program **330** preferably stores the new settings locally in push options storage area **360**.

Once the status update has been transmitted or stored, the process passes from block **622** to block **630**, which illustrates client program **330** determining whether a server query has been received. If so, as depicted at block **632**, client program **330** returns a status update based on the subscriber's current status, as reflected in push options storage area **360**. That status update indicates the changes to the subscriber status that have occurred, if any, since the last time client program **330** successfully transmitted an update to server **10**. Thus,

correct status is maintain at server **10** in time for distribution.

5 After the status update is transmitted, or after it is determined that no server query has been received, the process passes to block **640**, which depicts a determination of whether an operator of client **20A** has requested termination of client program **330**, for instance by instructing client **20A** to shut down. If termination has been requested the process ends, as shown at block 10 **650**. Otherwise, the process returns to block **610** and the steps described above are repeated, beginning with a determination of whether a new issue has been received. Client program **330** thus prevents server **10** from pushing a new issue to the subscriber until the last issue that was received by the subscriber has been opened or read.

Push-on-Present Client Process

20 With reference now to **Figure 7**, there is illustrated an exemplary method, means and program function within client **20B** for receiving issues and responding to dynamic requests from server **10** for status updates, according to the push-on-present methodology. The illustrated process begins at block **700** with client 25 program **330** executing in client **20B**, with the network address of client **20B** having been registered as the address of a subscriber to a serial publication, and with the push-on-present filter enabled for that subscriber.

30 The process then passes to block **662**, which depicts client program **330** determining whether a new

issue of a publication has been received. If a new issue has been received, client program **330** saves the received issue in received data storage area **350**, as shown at block **664**. After the issue is saved, or if it is determined that no new issue has been received, the process passes to block **670**, which depicts client program **330** determining whether the subscriber has provided input indicating that the subscriber's vacation settings should be changed. If so, client program **330** transmits a status update to server **10** describing the requested changes, as depicted at block **672**.

In the illustrative embodiment, the mechanism that conveys the status update is an HTTP function (such as "PUT" or "POST") for storing data on server **10**, although those of ordinary skill in the art will recognize that alternative mechanisms might be utilized as well. In any case, however, if client program **330** is unable to successfully transmit the status update to server **10**, client program **330** preferably stores the new settings locally in push options storage area **360**.

Once the status update has been transmitted or stored, the process passes from block **672** to block **680**, which illustrates client program **330** determining whether a server query has been received. If so, as depicted at block **682**, client program **330** returns a status update indicating the changes to the subscriber status that have occurred, if any, since the last time client program **330** successfully transmitted status settings to server **10**.

After the status update is transmitted, or after it is determined that no server query has been received, the process passes to block **690**, which depicts a determination of whether an operator of client **20B** has requested termination of client program **330**, in which case the process ends, as shown at block **650**. Otherwise, the process returns to block **662** and the steps described above are repeated, beginning with a determination of whether a new issue has been received. Client program **330** thus prevents server **10** from pushing new issues to the subscriber while the subscriber is scheduled to be absent.

In conclusion, as has been described, the present invention gives subscribers the ability to prevent publishers of electronic publications from transmitting unwanted issues of a publication, thereby enhancing the efficiency of the distribution process and keeping unwanted issues from accumulating on client data processing systems and/or intermediate servers. When a new issue is being distributed, a first embodiment filters out certain subscribers who have not opened a previous issue of that subscription. A second embodiment filters out subscribers according to individual "vacation" settings. In addition, dynamic updating of subscriber status is provided, and filtering and dynamic updating may be activated or deactivated at the server, publication, and/or subscribers level.

While the invention has been particularly shown and described with reference to a preferred embodiment, it will be understood by those skilled in the art that various changes in form and detail may be made therein

without departing from the spirit and scope of the invention. For example, those of ordinary skill in the art will recognize that, although the illustrative embodiment stores subscriber's network addresses and status settings in a single subscriber database, that database could instead be designed to identify subscribers by e-mail addresses or other types of data, and the identifiers and status settings need not necessarily be stored in the same data construct.

Similar variations on the basic concepts taught herein would likewise be apparent to those of ordinary skill in the art. For example, the allocation of functions among the components of the server program and the constructs and locations utilized to store various other data items utilized in the publication process could be varied to a certain degree without substantially departing from spirit and scope of the invention as taught herein.

00000000000000000000000000000000

CLAIMS

What is claimed is:

1. A method in a network of data processing systems for
2 optimizing the efficiency with which a serial electronic
3 publication is distributed to subscribers, said method
4 comprising:

5 electronically transmitting a first issue of a
6 serial electronic publication to a subscriber;

7 determining whether said first issue has been opened
8 by said subscriber; and

9 electronically transmitting a second issue of said
serial electronic publication to said subscriber only
after determining that said first issue has been opened.

2. The method of claim 1, wherein:

3 said step of electronically transmitting said issue
4 comprises electronically transmitting said issue to a
5 client data processing system associated with said
6 subscriber; and

7 said step of determining whether said first issue
8 has been opened comprises receiving a status update from
9 said client data processing system indicating that said
first issue has been opened.

1 3. The method of claim 2, wherein:

2 said method further comprises storing a subscriber
3 status in accordance with said status update, in response
4 to receiving said status update from said client; and

5 said step of determining whether said first issue
6 has been opened is performed with reference to said
7 subscriber status, such that said subscriber status
8 enables said determination to be performed without
9 communicating with said subscriber after said first issue
10 has been transmitted.

1 4. The method of claim 3, wherein:

2 said method further comprises determining that a
3 publication time for initiating distribution of an issue
4 of said serial electronic publication has been reached;
5 and

6 said step of determining whether said first issue
7 has been opened is performed in response to said
8 determination that said publication time has been
9 reached.

1 5. The method of claim 3, wherein:

2 said step of transmitting said first issue comprises
3 transmitting a hypertext transfer protocol (HTTP) cookie
4 to said subscriber with said first issue; and

5 said step of receiving said status update comprises
6 receiving a cookie response from said subscriber
7 indicating that client software has been utilized to open
8 said first issue.

6. The method of claim 3, wherein said step of receiving said status update comprises receiving, at a server data processing system, a hypertext transfer protocol (HTTP) function for storing said subscriber status.

7. The method of claim 2, further comprising:
 - determining that a publication time for initiating distribution of an issue of said serial electronic publication has been reached; and
 - automatically transmitting a status request to said subscriber in response to said determination that said publication time has been reached;

wherein said step of receiving said status update comprises receiving, from said subscriber, a status reply that corresponds to said status request and comprises said status update.

1 8. A data processing system with facilities for
2 transmitting a serial electronic publication to
3 subscribers efficiently, said data processing system
4 comprising:

5 a push engine that electronically transmits a first
6 issue of a serial electronic publication to a subscriber;
7 and

8 a status manager that determines whether said first
9 issue has been opened by said subscriber, and that allows
10 said push engine to transmit a second issue to said
11 subscriber only after determining that said first issue
has been opened.

9. The data processing system of claim 8, wherein:

10 said data processing system comprises a server data
11 processing system;

12 said push engine transmits said first issue to said
13 subscriber by transmitting said first issue to a client
14 data processing system associated with said subscriber;

15 said server data processing system includes an input
16 module that receives a status update from said
17 subscriber; and

18 said status manager determines whether said first
19 issue has been opened by reference to said status update.

1 10. The data processing system of claim 9, wherein:

2 said server data processing system comprises storage
3 for storing a subscriber status that corresponds to said
4 status update in response to receipt of said status
5 update; and

6 said push engine determines whether said first issue
7 has been opened by reference to said subscriber status,
8 such that said subscriber status enables said
9 determination to be performed without communicating with
10 said subscriber after said first issue has been
11 transmitted.

11 11. The data processing system of claim 10, wherein:

12 said server data processing system includes a timer
13 that indicates when a publication time for initiating
14 distribution of an issue of said serial electronic
15 publication has been reached; and

16 said push engine determines whether said first issue
17 has been opened in response to said indication of said
18 timer.

1 12. The data processing system of claim 10, wherein:

2 said push engine transmits a hypertext transfer
3 protocol (HTTP) cookie to said subscriber with said first
4 issue;

5 said status update comprises a cookie response
6 received from said subscriber; and

7 said cookie response corresponds to said HTTP cookie
8 and indicates that client software has been utilized to
9 open said first issue.

1 13. The data processing system of claim 10, wherein said
2 status update comprises a hypertext transfer protocol
3 (HTTP) function, received at said server data processing
4 system, for storing said subscriber status at said server
5 data processing system.

1 14. The data processing system of claim 9, wherein:
2 said server data processing system includes a timer
3 that indicates when a publication time for initiating
4 distribution of an issue of said serial electronic
5 publication has been reached;
6 said status manager automatically transmits a status
7 request to said subscriber in response to said indication
8 of said timer;
9 said input module receives a status reply from said
10 subscriber that corresponds to said status request; and
11 said status reply comprises said status update.

1 15. A program product for efficiently transmitting a
2 serial electronic publication from a server data
3 processing system to subscribers, said program product
4 comprising:

5 a push engine that electronically transmits a first
6 issue of a serial electronic publication from a server
7 data processing system to a subscriber; and

8 a status manager that determines whether said first
9 issue has been opened by said subscriber, and that allows
10 said push engine to transmit a second issue to said
11 subscriber only after determining that said first issue
12 has been opened; and

13 a computer usable medium encoding said push engine
14 and said status manager.

15 16. The program product of claim 15, wherein:

16 said push engine transmits said first issue to said
17 subscriber by transmitting said first issue to a client
18 data processing system associated with said subscriber;

19 said computer usable medium also encodes an input
20 module that receives a status update from said
21 subscriber; and

22 said status manager determines whether said first
23 issue has been opened by reference to said status update.

1 17. The program product of claim 16, wherein:
2 said computer usable medium also encodes
3 instructions for allocating storage in said server data
4 processing system for storing a subscriber status that
5 corresponds to said status update;
6 said status manager stores said subscriber status in
7 said storage in response to receipt of said status
8 update; and
9 said push engine determines whether said first issue
10 has been opened by reference to said subscriber status,
11 such that said subscriber status enables said
12 determination to be performed without communicating with
13 said subscriber after said first issue has been
14 transmitted.

1 18. The program product of claim 17, wherein:
2 said server data processing system includes a timer
3 that indicates when a publication time for initiating
4 distribution of an issue of said serial electronic
5 publication has been reached; and
6 said push engine determines whether said first issue
7 has been opened in response to said indication of said
8 timer.

1 19. The program product of claim 17, wherein:

2 said push engine transmits a hypertext transfer

3 protocol (HTTP) cookie to said subscriber with said first

4 issue;

5 said status update comprises a cookie response

6 received from said subscriber; and

7 said cookie response corresponds to said HTTP cookie

8 and indicates that client software has been utilized to

9 open said first issue.

1 20. The program product of claim 17, wherein said status

2 update comprises a hypertext transfer protocol (HTTP)

3 function, received at said server data processing system,

4 for storing said subscriber status at said server data

5 processing system.

1 21. The program product of claim 20, wherein:

2 said server data processing system includes a timer

3 that indicates when a publication time for initiating

4 distribution of an issue of said serial electronic

5 publication has been reached;

6 said status manager automatically transmits a status

7 request to said subscriber in response to said indication

8 of said timer;

9 said input module receives a status reply from said

10 subscriber that corresponds to said status request; and

 said status reply comprises said status update.

ABSTRACT OF THE DISCLOSURE**METHOD, SYSTEM AND PROGRAM FOR EFFICIENTLY DISTRIBUTING
SERIAL ELECTRONIC PUBLICATIONS**

5

A data processing system with efficient facilities for transmitting a serial electronic publication to subscribers includes a push engine and a status manager. The push engine transmits a first issue to a subscriber. The status manager determines whether the first issue has been opened and allows the push engine to transmit a second issue to the subscriber only after determining that the first issue has been opened. In an illustrative embodiment, the push engine transmits a hypertext transfer protocol (HTTP) cookie to the subscriber with the first issue, and the status manager determines whether the first issue has been opened by reference to a corresponding cookie response from the subscriber indicating that client software has been utilized to open the first issue.

OPENING PREDICTED

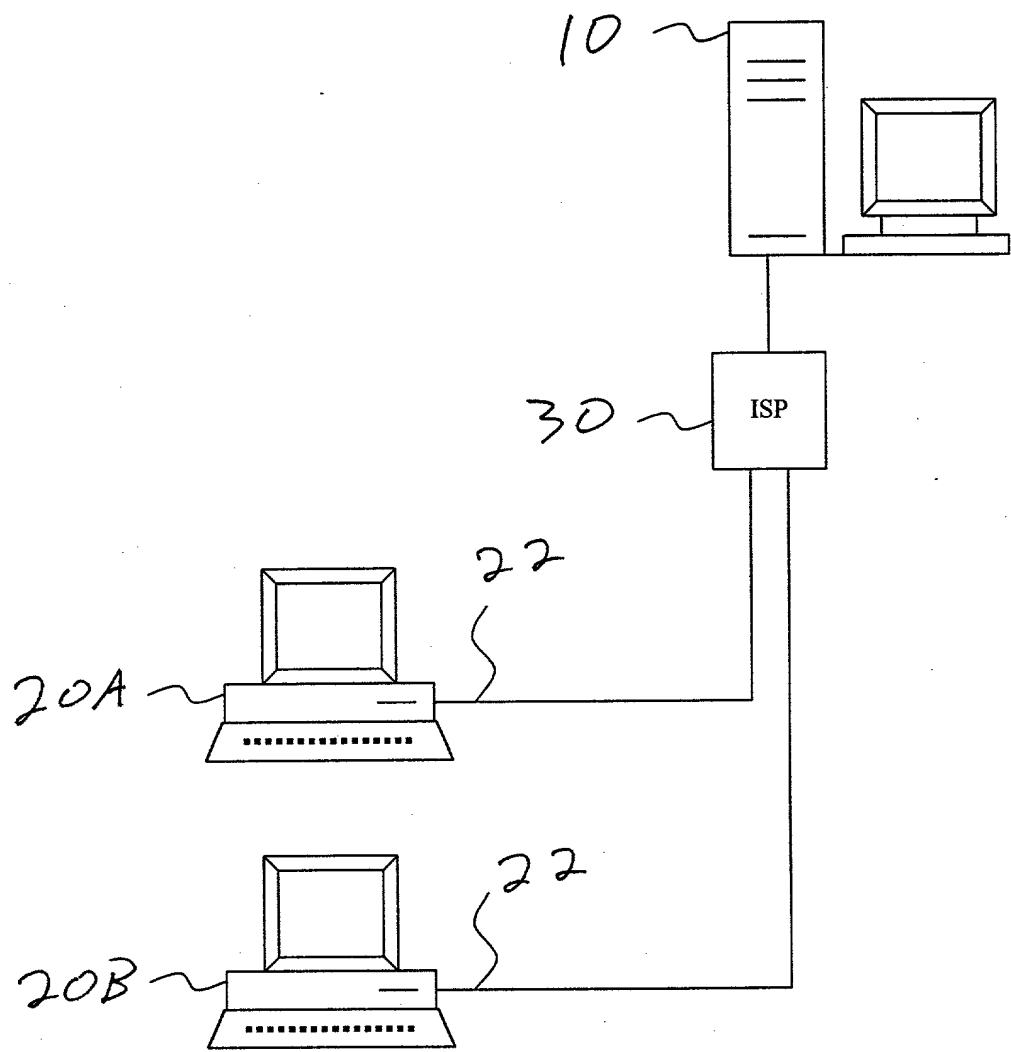


FIG. 1

2/7

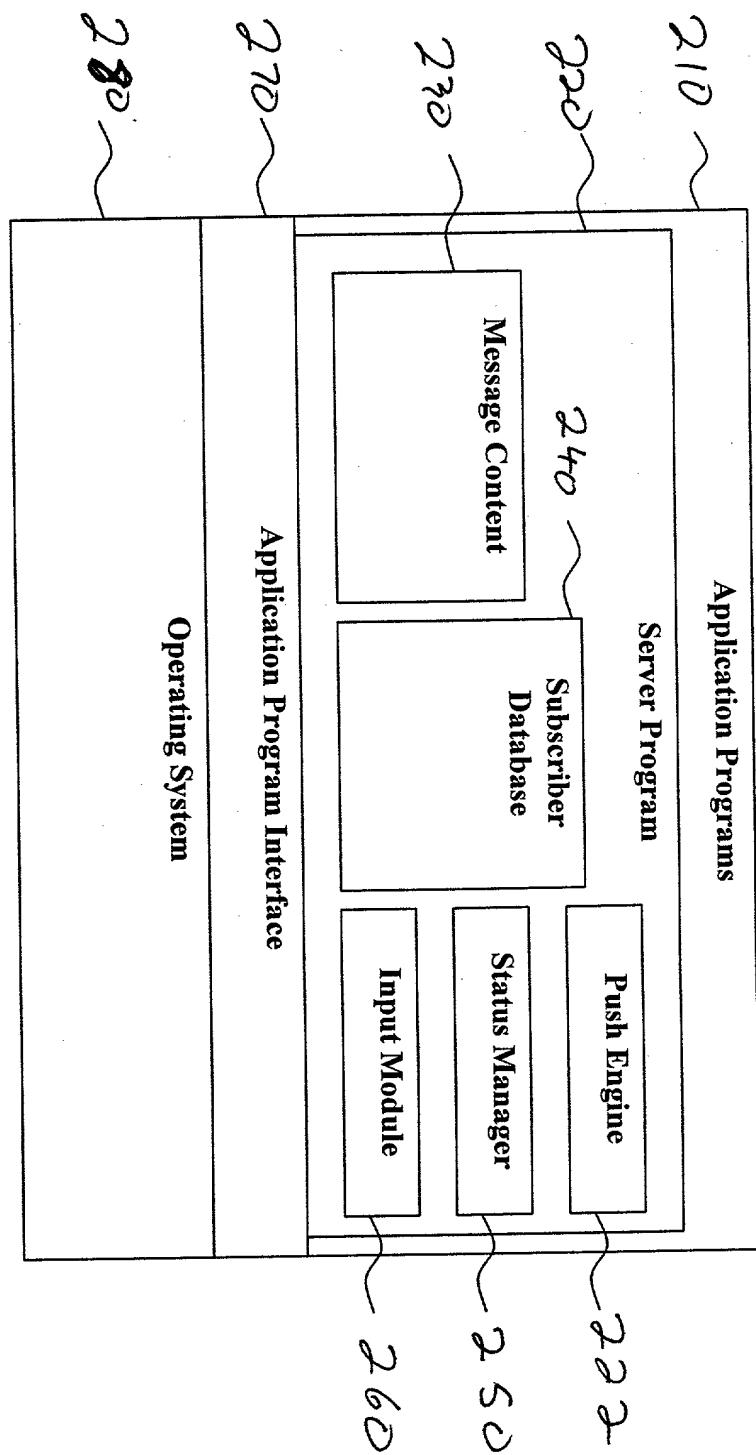


FIG. 2

3/7

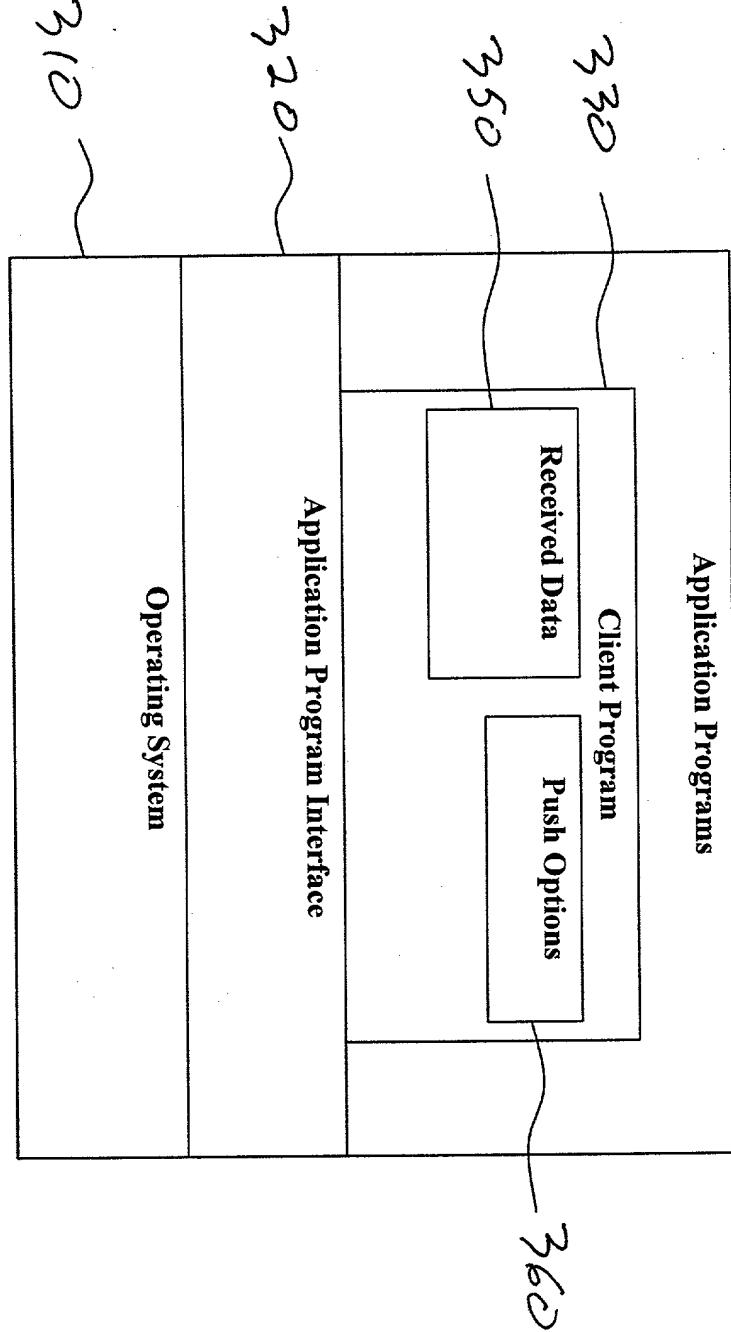


FIG. 3

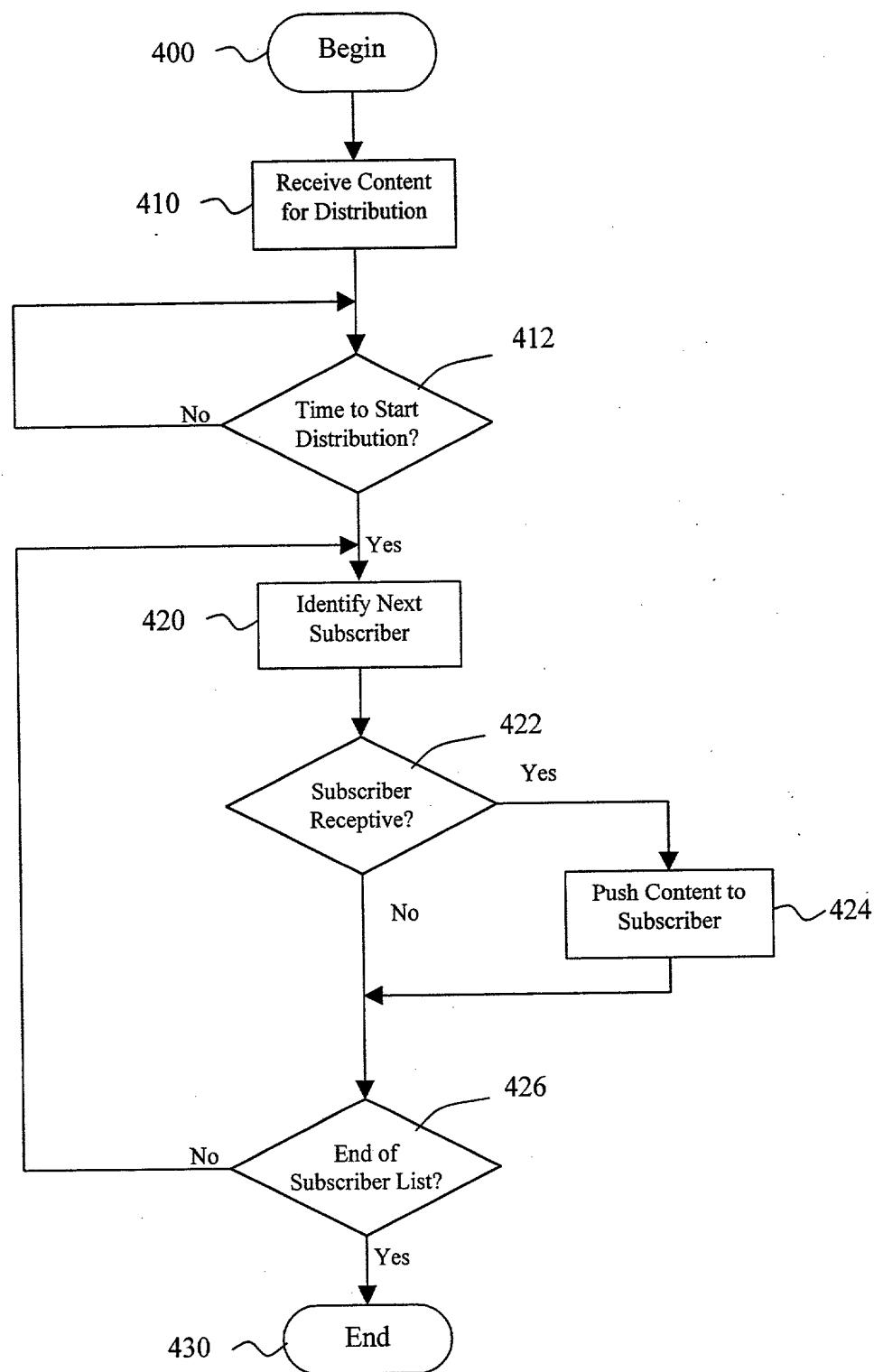


FIG. 4

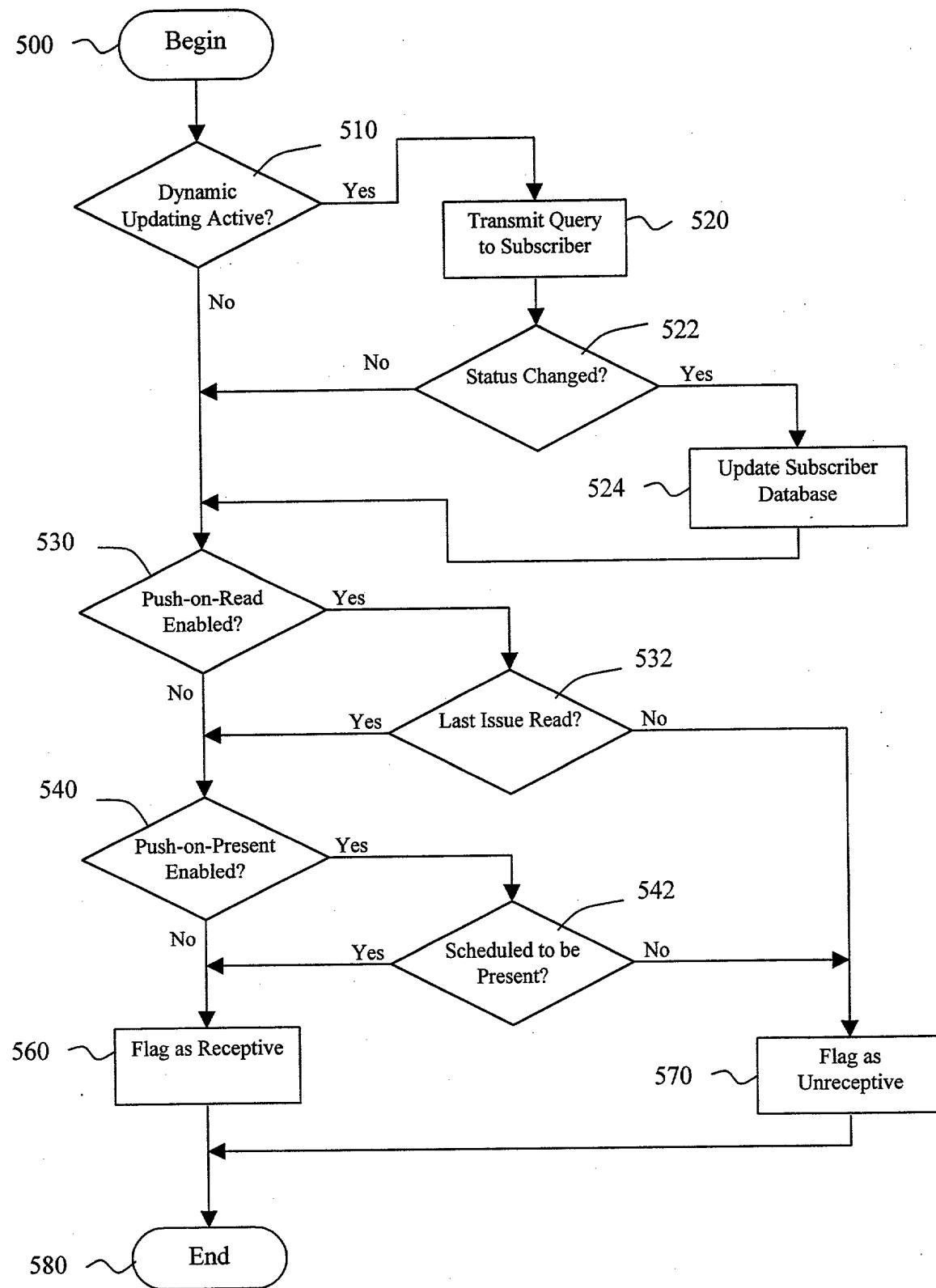


FIG. 5

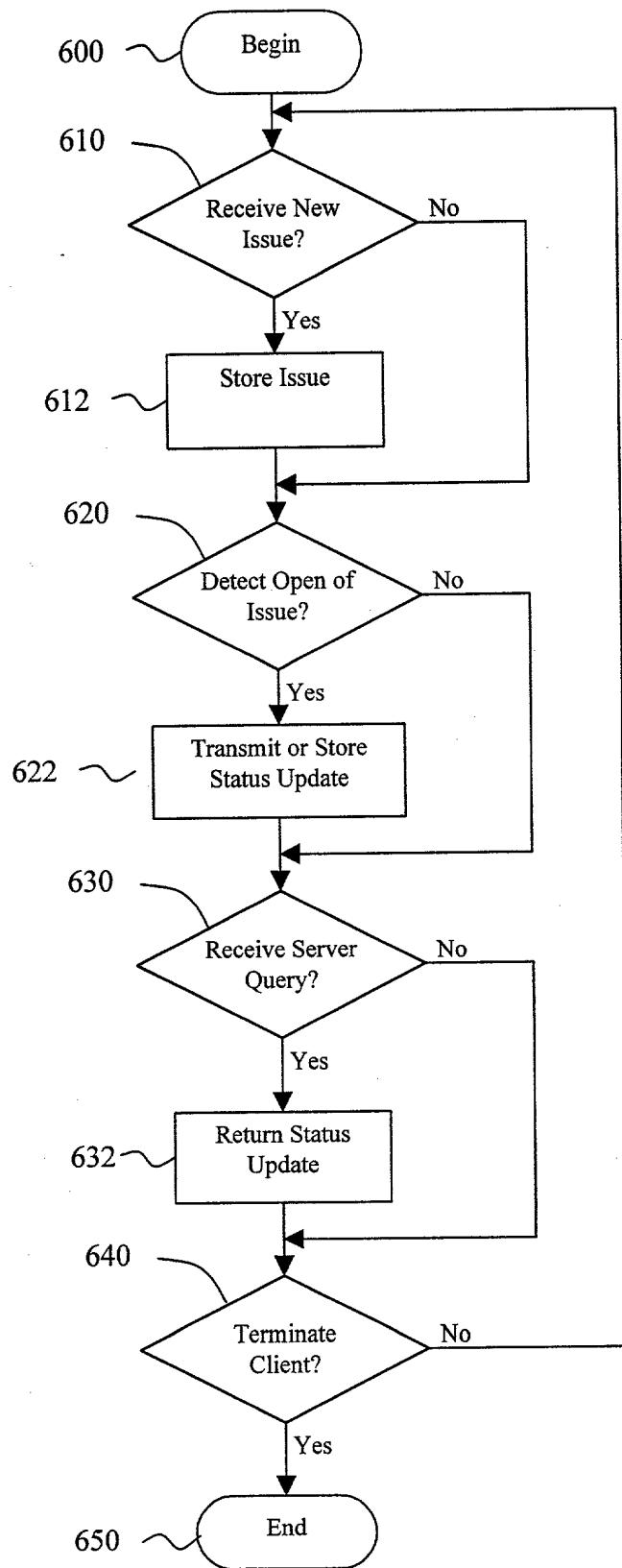


FIG. 6

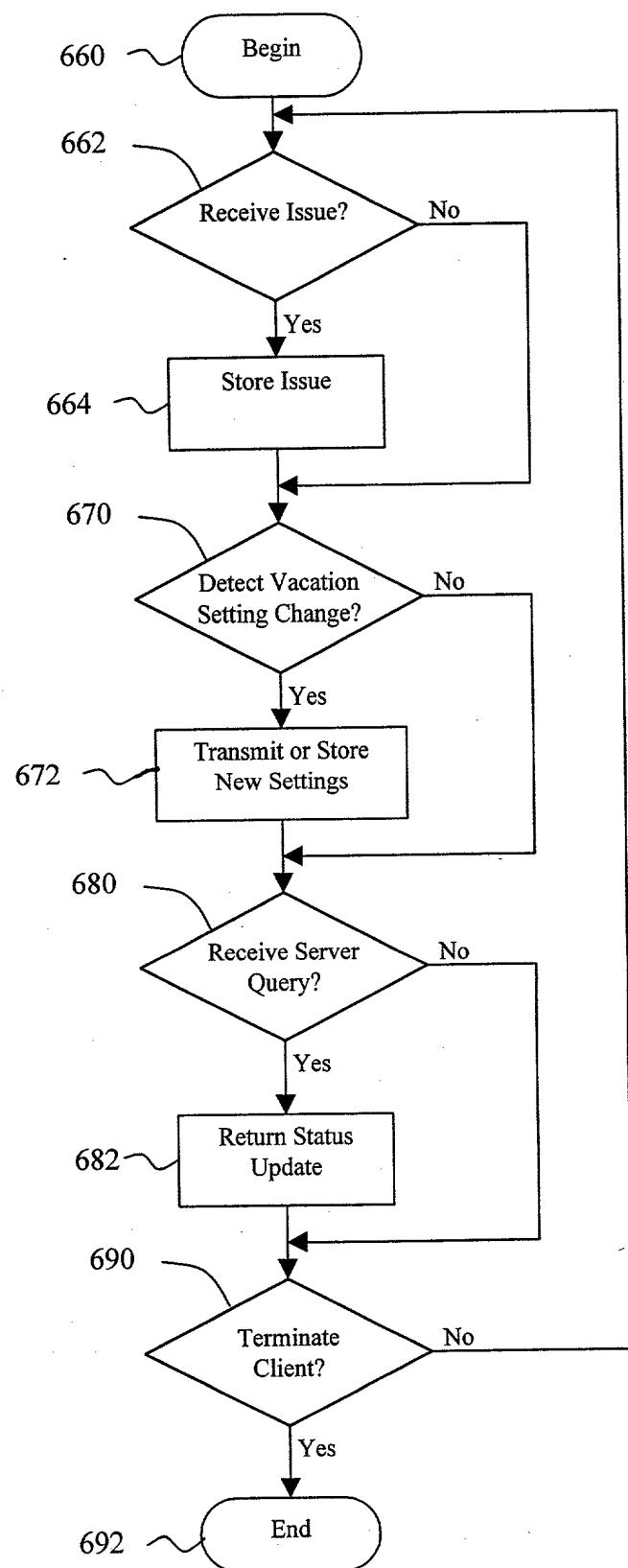


FIG. 7

**DECLARATION AND POWER OF ATTORNEY FOR
PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**METHOD, SYSTEM AND PROGRAM FOR EFFICIENTLY DISTRIBUTING
SERIAL ELECTRONIC PUBLICATIONS**

the specification of which (check one)

is attached hereto
 was filed on _____
as Application Serial No. _____
and was amended on _____ (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s):	Priority Claimed	
_____	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	
(Number)	(Country)	(Day/Month/Year)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information material to the patentability of this application as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial #)

(Filing Date)

(Status)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorneys and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

John W. Henderson, Jr., Reg. No. 26,907; Thomas E. Tyson, Reg. No. 28,543; Robert M. Carwell, Reg. No. 28,499; Jeffrey S. LaBaw, Reg. No. 31,633; Douglas H. Lefeve, Reg. No. 26,193; Casimer K. Salys, Reg. No. 28,900; David A. Mims, Jr., Reg. No. 32,708; Volel Emile, Reg. No. 39,969; James H. Barksdale, Jr. Reg. No. 24,091; Anthony V. England, Reg. No. 35,129; Leslie A. Van Leeuwen, Reg. No. 42,196; Marilyn S. Dawkins, Reg. No. 31,140; Mark E. McBurney, Reg. No. 33,114; Christopher A. Hughes, Reg. No. 26,914; Edward A. Pennington, Reg. No. 32,588; John E. Hoel, Reg. No. 26,279; Joseph C. Redmond, Jr., Reg. No. 18,753; Matthew S. Anderson, Reg. No. 39,093; Matthew W. Baca, Reg. No. 42,277; Michael R. Barré, Reg. No. 44,023; Max Ciccarelli, Reg. No. 39,454; Andrew J. Dillon, Reg. No. 29,634; John G. Graham, Reg. No. 19,563; Andrew M. Harris, Reg. No. 42,638; Steven Lin, Reg. No. 35,250; Richard N. McCain, Reg. No. 43,785; Jack V. Musgrove, Reg. No. 31,986; Antony P. Ng, Reg. No. 43,427; Michael E. Noe, Reg. No. 44,975; Brian F. Russell, Reg. No. 40,796; and Daniel E. Venglarik, Reg. No. 39,409.

Send correspondence to: Andrew J. Dillon, FELSMAN, BRADLEY, VADEN, GUNTER & DILLON, LLP, Suite 350, Lakewood on the Park, 7600B North Capital of Texas Highway, Austin, Texas 78731, and direct all telephone calls to Andrew J. Dillon, (512) 343-6116.

FULL NAME OF SOLE OR FIRST INVENTOR: Rabindranath Dutta

INVENTORS SIGNATURE: Rabindranath Dutta DATE: Mar 20, 1998

RESIDENCE: 3401 Farmer Lane W. #835
Austin, Texas 78727

CITIZENSHIP: India

POST OFFICE ADDRESS: 3401 Farmer Lane W. #835
Austin, Texas 78727